

Amazonian functional diversity from forest canopy chemical assembly

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Patterns of tropical forest functional diversity express processes of ecological assembly at multiple geographic scales and aid in predicting ecological responses to environmental change. Tree canopy chemistry underpins forest functional diversity, but the interactive role of phylogeny and environment in determining the chemical traits of tropical trees is poorly known. Collecting and analyzing foliage in 2,420 canopy tree species across 19 forests in the western Amazon, we discovered (i) systematic, community-scale shifts in average canopy chemical traits along gradients of elevation and soil fertility; (ii) strong phylogenetic partitioning of structural and defense chemicals within communities independent of variation in environmental conditions; and (iii) strong environmental control on foliar phosphorus and calcium, the two rock-derived elements limiting CO₂ uptake in tropical forests. These findings indicate that the chemical diversity of western Amazonian forests occurs in a regionally nested mosaic driven by long-term chemical trait adjustment of communities to large-scale environmental filters, particularly soils and climate, and is supported by phylogenetic divergence of traits essential to foliar survival under varying environmental conditions. Geographically nested patterns of forest canopy chemical traits will play a role in determining the response and functional rearrangement of western Amazonian ecosystems to changing land use and climate.

Amazon basin | leaf traits | biological diversity | chemical phylogeny | community assembly

Foliage is a locus of chemical investment undertaken by plants to capture and use sunlight for carbon gain under changing environmental conditions and compete with coexisting individuals and species. Plants acquire essential chemical elements from soils, and they synthesize a wide variety of compounds in their leaves to support multiple interdependent physiological processes. Uptake of nitrogen and phosphorus plus the internal production of photosynthetic pigments, including chlorophyll and carotenoids, are required for light capture and carbon fixation in foliage (1). Soluble carbon, primarily comprised of sugars, starch, pectins, and lipids, is then synthesized to meet the energy requirements of the entire plant (2). Other macro- and micronutrients (e.g., calcium) underpin critical leaf functions, such as stomatal conductance and cell wall development. To support the carbon capture process, foliar structural compounds, such as lignin and cellulose, are synthesized to provide strength and longevity (3), and polyphenols are generated for chemical defense (4). Variation in this leaf chemical portfolio expresses multiple strategies evolved in plants to maximize fitness through growth and longevity in any given environment.

Despite our understanding of plant chemical and physiological processes, the way that environment and evolution interact to determine geographic variation in plant canopy chemistry remains a mystery. In turn, this shortfall sets a fundamental limit on our knowledge of the core determinants of functional diversity in and across ecosystems, with cascading limits on our understanding of biogeographic and biogeochemical processes. Although much research has either focused on plant functional trait differentiation among coexisting species in communities (5) or emphasized trait convergence in response to environmental

filters, such as climate and soils (6), few studies have examined the interconnections between phylogeny and environment in determining functional diversity by way of canopy chemistry (7). This gap is particularly true in the tropics, where our understanding of the interplay between evolution and environmental factors is perhaps weakest because of high plant diversity and a poor understanding of plant community assembly (8). Today, we know very little about canopy chemical traits at community to biome scales in the tropics (9).

Western Amazonian forests are a case in point. The forested corridor stretching from Colombia to Bolivia and from the Andean tree line to the Amazon lowlands harbors thousands of plant species arranged in communities distributed across widely varying elevation, geologic, soil, and hydrologic conditions (10, 11). Although the general biological diversity of the region is coming into focus (12, 13), the functional diversity of the forest remains unknown. To understand the regional assembly of forest functional traits and their underlying controls in Amazonia, we must determine the degree to which canopy chemistry is environmentally filtered and phylogenetically partitioned as well as how chemical traits are organized within and among communities. If chemical traits are plastic among coexisting taxa, then biological diversity may be decoupled from functional diversity. Alternatively, if there exists strong phylogenetic organization of canopy chemical traits, then biological diversity may express functional trait diversity and vice versa. Determining the connection between functional and biological diversity may help to explain how so many species coexist within communities and how communities differ throughout the region (14).

Here, we are interested in chemical diversity among coexisting tropical canopy tree species and their evolved responses to regional

Significance

Canopy trees are keystone organisms that create habitat for an enormous array of flora and fauna and dominate carbon storage in tropical forests. Determining the functional diversity of tree canopies is, therefore, critical to understanding how tropical forests are assembled and predicting ecosystem responses to environmental change. Across the megadiverse Andes-to-Amazon corridor of Peru, we discovered a large-scale nested pattern of canopy chemical assembly among thousands of trees. This nested geographic and phylogenetic pattern within and among forest communities provides a different perspective on current and future alterations to the functioning of western Amazonian forests resulting from land use and climate change.

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environmental filters thought to limit functional trait divergence. Thus, we developed chemical trait portfolios for tree canopies spread along a 3,500-m elevation gradient stretching from lowland Amazonia to the Andean tree line in Peru (*SI Methods* and *Tables S1* and *S2*). We assessed the role of taxonomy as well as within- (intraspecific) and between-species (interspecific) variations in determining community and regional chemical assembly. Our study incorporated 2,420 canopy tree species in 19 forests along the elevation gradient, and our sampling included the majority of canopy tree species known to occur in the western Amazon (11, 12). Because submontane to montane Andean forests exist primarily on younger geologic surfaces, whereas lowland forests occur on a mosaic of young to old substrates, we also considered the role of soils in mediating canopy chemical trait distributions. We asked two questions. (i) How does the canopy chemistry of western Amazonian forests vary with elevation? (ii) How much of the variation is explained by taxonomy compared with plasticity within taxa? We focused on light capture and growth traits (including N, P, and photosynthetic pigments) as well as structure and defense traits (total C, lignin, cellulose, and phenols). We also considered Ca as a key element regulating foliar metabolism and nutrient cycling in humid tropical ecosystems (15, 16), and we measured $\delta^{13}\text{C}$ and soluble carbon as indicators of performance (17). Finally, we assessed sources of variation in leaf mass per area (LMA), a foliar structural property expressing plant investment strategies based on multiple chemical and physiological traits (18).

Results

Regional Chemical Diversity. Canopy chemical traits varied widely among the thousands of trees surveyed along the Andes–Amazon elevation gradient (Table 1 and Table S3). Foliar N, P, and lignin spanned an order of magnitude in value, whereas Ca and phenols varied by two orders of magnitude. Community-scale variation in many chemical traits tracked changes in elevation (Fig. S1) and at times, was closely related to climate (Table S4). Intercomparison of elevational trends in canopy chemistry was made possible by applying a gradient normalization procedure to the data, which shows the percentage increase or decrease in a community's average trait value relative to the gradient mean (*SI Methods*). By doing this normalization, elevational trends among all forests were found to differ from observed trends among high-fertility sites alone, revealing the central role of soils in determining community-level canopy chemistry in the region (Fig. 1). Most notably, foliar P and Ca concentrations on higher-fertility lowland sites were two times that measured on lower-fertility lowland sites, and soluble C concentrations were elevated in higher-fertility areas (Table 2). In contrast, total C, phenols, and lignin were suppressed in the higher-fertility sites.

We also discovered elevation-dependent tradeoffs in canopy foliar C allocation throughout the region. Up the elevation gradient, cellulose and lignin decreased 100% relative to their region-wide mean. Soluble C increased by almost 150% with elevation (Fig. 1), and this change occurred in parallel to a nearly 200% increase in LMA. Changes in C allocation were tightly linked to mean annual temperature and precipitation along the gradient (Table S4).

We found opposing patterns for P and Ca—two rock-derived nutrients often thought to limit growth in tropical forests (16). With increasing elevation, foliar P increased 100% above the gradient mean value (Fig. 1A), but this elevational pattern disappeared after the removal of the low-fertility sites from the analysis (Fig. 1B). In contrast, mean foliar Ca concentration decreased by 100% from the Amazonian lowlands to tree line in the Andes. Foliar N declined only slightly with elevation. Additional analyses revealed decreasing P and Ca on a leaf area basis, despite the fact that LMA increased with elevation (Fig. S2 and Table S5). Finally, foliar $\delta^{13}\text{C}$ increased by about 200% with

Table 1. Descriptive statistics for canopy foliar traits in forests along the Andes–Amazon elevation gradient in Peru

Foliar traits	Mean (SD)	Minimum	Maximum
All forests (2,420 species)			
$\delta^{13}\text{C}$ (per mil)	-31.5 (1.5)	-36.2	-25.4
LMA (g m^{-2})	104.09 (32.55)	33.43	296.61
Total C (%)	49.4 (3.2)	34.8	58.6
Soluble C (%)	43.05 (11.17)	16.87	80.58
Chlorophyll (mg g^{-1})	7.03 (2.42)	1.47	18.04
Carotenoid (mg g^{-1})	1.49 (0.48)	0.40	5.86
N (%)	2.08 (0.67)	0.57	5.54
P (%)	0.12 (0.07)	0.03	0.82
Ca (%)	0.93 (0.85)	0.02	7.25
Phenols (mg g^{-1})	104.76 (53.25)	1.23	321.11
Lignin (%)	25.95 (10.00)	2.98	62.15
Cellulose (%)	18.96 (5.40)	5.98	43.23
Higher-fertility soils (919 species)			
$\delta^{13}\text{C}$ (per mil)	-31.4 (1.6)	-35.3	-25.4
LMA (g m^{-2})	98.46 (34.39)	33.43	296.61
Total C (%)	47.9 (3.1)	35.7	55.3
Soluble C (%)	47.38 (11.43)	16.87	80.58
Chlorophyll (mg g^{-1})	7.61 (2.54)	1.47	18.04
Carotenoid (mg g^{-1})	1.61 (0.49)	0.41	3.42
N (%)	2.18 (0.68)	0.63	5.23
P (%)	0.17 (0.08)	0.05	0.82
Ca (%)	1.43 (0.92)	0.07	6.38
Phenols (mg g^{-1})	89.94 (49.69)	1.23	238.79
Lignin (%)	21.72 (8.55)	3.89	54.58
Cellulose (%)	17.59 (5.08)	5.98	40.00

The data are presented for all 19 forest sites and for a subset of 10 sites that occur on high-fertility soils (*SI Methods*).

elevation relative to its mean gradient value, and this trend occurred independent of site fertility (Fig. 1).

Taxonomic Partitioning of Chemical Traits. Beyond the average community-scale changes in canopy chemical traits throughout the

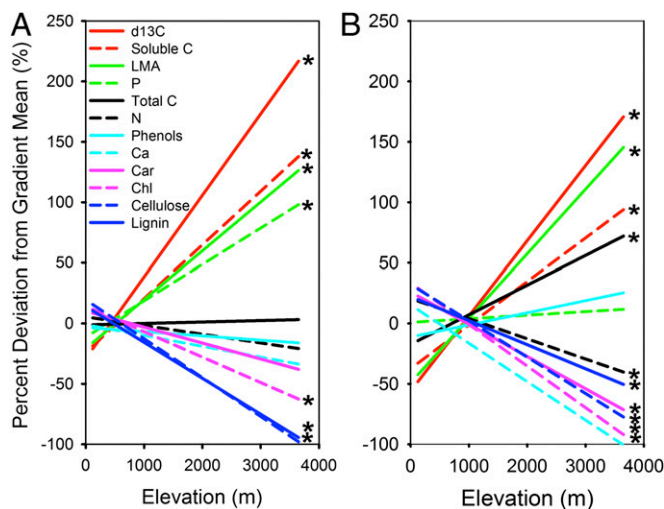


Fig. 1. Changes in average canopy foliar traits along a 3,500-m Andes to Amazon elevation gradient for (A) all sites on all soil types and (B) a subset of sites on high-fertility soils. The lines are ordinary least squares regression fits for each trait after normalization of the data to their elevation gradient mean values (site mean – gradient mean)/gradient SD (*SI Methods*). *Linear regression fits to foliar data that are significant at the $P < 0.05$ level. Car, carotenoid; Chl, chlorophyll.

Table 2. ANOVAs comparing higher- and lower-fertility soils in Amazonian lowland forests (<300-m elevation)

	Higher fertility	Lower fertility	F	P
$\delta^{13}\text{C}$ (per mil)	-31.5 (0.3)	-31.9 (0.3)	7.00	0.03
LMA (g m^{-2})	90.73 (9.17)	108.95 (10.53)	8.29	0.02
Total C (%)	47.09 (0.57)	50.32 (1.17)	26.00	<0.01
Soluble C (%)	44.9 (1.2)	40.7 (1.5)	21.55	<0.01
Chl (mg g^{-1})	7.93 (0.58)	6.6 (0.79)	8.45	0.02
Car (mg g^{-1})	1.66 (0.08)	1.41 (0.15)	9.55	0.01
N (%)	2.25 (0.05)	1.98 (0.23)	5.08	0.05
P (%)	0.18 (0.02)	0.09 (0.02)	47.70	<0.01
Ca (%)	1.48 (0.16)	0.63 (0.34)	22.19	<0.01
Phenols (mg g^{-1})	79.4 (12.94)	114.19 (13.47)	17.39	<0.01
Lignin (%)	18.81 (0.99)	19.78 (0.52)	25.96	<0.01
Cellulose (%)	22.23 (0.97)	28.35 (2.25)	4.71	0.06

Mean (\pm SD) of each chemical trait (mass basis) is provided along with *F* statistic and the significance (*P* value) of the comparison. Table S1 shows a listing of higher- and lower-fertility sites. Car, carotenoid; Chl, chlorophyll.

region, we found strong taxonomic partitioning of chemical variance—a general surrogate for phylogeny (*SI Methods*)—within communities and across the elevation gradient (Fig. 2). Structure and defense compounds, including lignin, cellulose, and phenols as well as total and soluble C, displayed the strongest taxonomic partitioning (66–79%) in all forests. Among these chemicals, the partitioning of variance was evenly distributed at family, genus, and species levels. The strength of taxonomic partitioning increased further when considering only the higher-fertility sites (Fig. 2*B*). For example, taxonomy accounted for about 50% and 80% of the variation in LMA and N, respectively, in higher-fertility sites.

Site characteristics were a relatively small contributor—less than 20%—to the explained variance in most canopy chemical traits (Fig. 2), indicating that, within any given community along the elevation gradient, phylogeny dominates over local differences in soils, microclimate, and other factors. Here, the term “site” also incorporates variation among replicates within species, including variability caused by leaf, branch, or canopy selection during our field collections. Important exceptions included $\delta^{13}\text{C}$, P, and Ca. Foliar $\delta^{13}\text{C}$ displayed the weakest phylogenetic partitioning. Canopy P and Ca patterns were also dominated by site

conditions, especially soils; this soil fertility effect is evidenced by the fact that phylogeny played a much stronger role in determining foliar P and Ca when only considering high-fertility sites. Regressing the model components against elevation, it is also clear that the taxonomic partitioning of most canopy chemical traits is invariant with elevation (Table S6).

Inter- vs. Intraspecific Variation. Interspecific (between-species) variation in canopy chemical traits was consistently two to three times greater than intraspecific (within-species) variation, and intraspecific variation was often very low in canopy trees at all sites (Fig. 3 and Table S7). Moreover, there were very few elevation-dependent trends in either intra- and interspecific variation (Tables S8 and S9). Maximum intraspecific variation was recorded for Ca (24–29%), phenols (21–22%), and P (16–21%). $\delta^{13}\text{C}$, total C, and soluble C showed extremely low intra- and interspecific variations of less than 10%.

Discussion

Regional Chemical Diversity. The geography of forest canopy chemical traits in the western Amazon is driven by a combination of topoedaphic variability and phylogenetic diversity. Patterns of foliar nutrients known to constrain rates of canopy CO_2 fixation (e.g., P and Ca) are organized by community-scale differences in soil fertility in lowland forests and elevational changes that combine the effects of soils and climate. Foliar C allocation and defense are, however, partitioned at multiple levels of evolutionary divergence, and most Amazonian canopy trees display low within-species variation in many chemical traits. These findings suggest that the high phylogenetic diversity of the western Amazon is interconnected with high functional diversity.

One of our most unexpected results is the extremely high level of chemical diversity found among canopy tree species throughout the region (Table 1). Foliar N, phenols, lignin, cellulose, and LMA span one to two orders of magnitude in value. Leaf P and Ca cover ranges that are 27 and 363 times greater than the lowest values in the study, respectively. Such high chemical diversity exceeds the variation reported from pan-tropical synthesis studies (19, 20), reaching a degree of trait variation reported for global terrestrial and aquatic vegetation (18, 21). Despite this unprecedented breadth of canopy chemical variability among Amazonian trees, we found that canopies tend toward

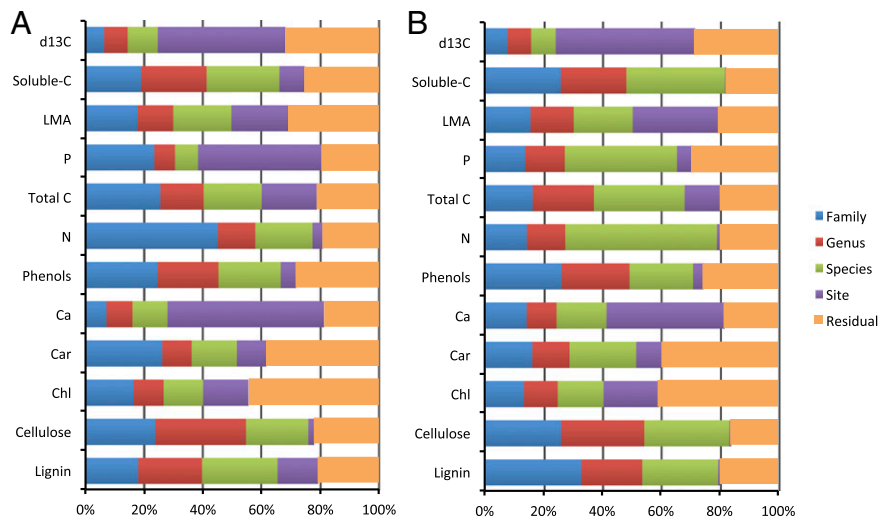


Fig. 2. Partitioning of the variance for each tree canopy chemical trait into phylogenetic (family/genus/species), site, and unexplained residual components for (A) all sites on all soil types and (B) a subset of sites on high-fertility soils. The site component incorporates variation in soils, geology, topography, and tree and foliage selection among other factors. Unexplained residuals are comprised of measurement error and other nonsite-related sources of uncertainty.

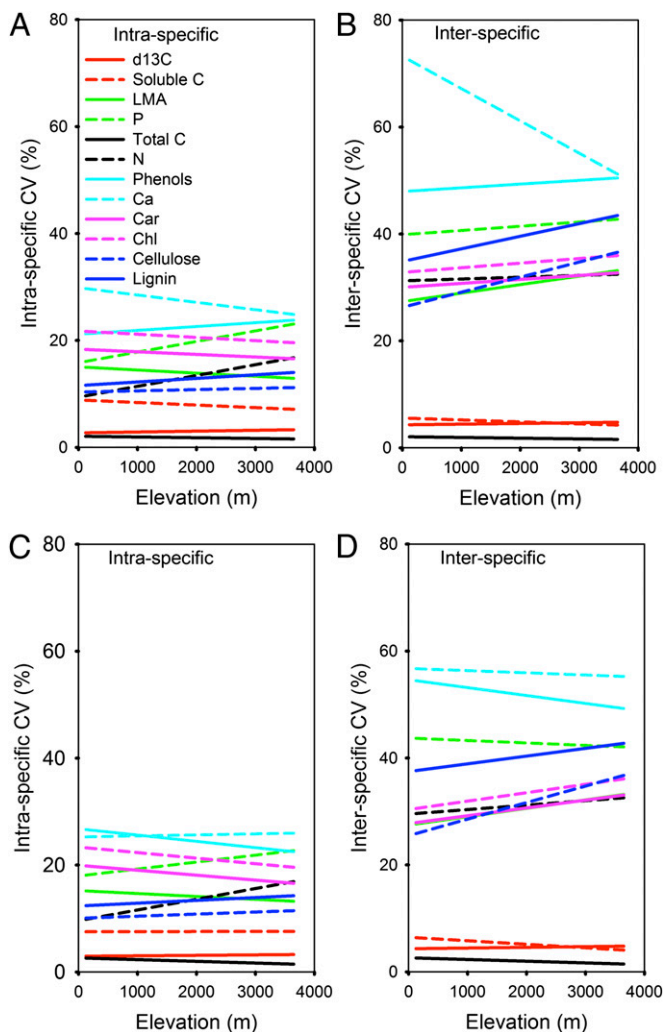


Fig. 3. Mean intra- and interspecific variations in tree canopy foliar traits along the Andes to Amazon elevation gradient for (A and B) all sites on all soil types and (C and D) a subset of sites on high-fertility soils (SI Methods). These regressions are computed using averaged coefficients of variation (CVs) on chemical data collected along the elevation gradient.

community-level average trait values that track changes in elevation and soil fertility as well as climate. In the lowlands, foliar P and Ca are at least two times as high in canopies on high-fertility landscapes, particularly on floodplain Inceptisols, than on low-fertility sites, such as clayey Ultisols and white sand Entisols (Table 2). On high-fertility soils, canopies have leaves with lower average LMA than canopies on low-fertility soils, and high-fertility canopies invest far less in foliar phenol and lignin production (22)—traits supporting longevity as well as pest and pathogen defense (23).

Ascending into the Andes, soils shift from the lowland mosaic of widely varying fertilities to more consistent, younger soils at the highest elevations. As a result, we found that the low-fertility sites in the lowlands mask elevation-dependent trends in canopy chemistry on the high-fertility substrates found at all elevations. When we removed the dystrophic lowland sites from the gradient analyses, we uncovered strong elevation-dependent decreases in foliar Ca that were accompanied by little change in foliar P and only a very slight decrease in foliar N (Fig. 1). This change in foliar Ca occurred in coordination with decreasing lignin and cellulose allocations and enormous increases in soluble C concentrations with increasing elevation. This previously undocumented pattern

may be driven by at least three processes. First, there may exist selective pressure to reduce the storage of soluble C in lowland Amazonian canopies, while at the same time, to increase cellulose and lignin investments as a defensive strategy to minimize losses of high-energy labile carbon to herbivores (24). Herbivore pressure is much greater in the Amazonian lowlands than the Andean forests (25). Second, waxes are contributors to the soluble C pool, and we observed increased numbers of waxy-leaved plants at high elevation, perhaps as defense against cold nighttime temperatures (26). Third, Ca is critical to foliar cell wall development (27, 28), and therefore, our results suggest that reduced Ca supply at higher elevations may impede the conversion of soluble C to nonlabile, structural C compounds, such as lignin and cellulose. Each explanation is plausible, and might reinforce the other.

Regionally, we also found a large and highly significant increase in foliar $\delta^{13}\text{C}$ with increasing elevation (Fig. 1), whereas intra- and interspecific variations in $\delta^{13}\text{C}$ were very low and nearly constant across the gradient. These findings are indicative of leaf stomatal and/or internal resistance effects on C-isotope discrimination associated with a decrease in CO_2 partial pressure at higher elevations (17). Elevation-dependent increases in $\delta^{13}\text{C}$ also suggest increased carboxylation efficiency when there also exists an associated higher N per unit leaf area (29, 30), which did occur with increasing elevation. In turn, this finding suggests that the efficiency of C fixation is maintained, or perhaps increases at higher elevation, which would explain the relatively constant and high photosynthetic capacity recently reported along a similar Andes to Amazon elevation gradient (31–33). Such high-growth rate environments likely create the conditions under which competition and defense are the most critical factors determining how maximum productivity is achieved and maintained. If this reasoning is correct, we expect that traits associated with foliar structure and defense would be phylogenetically organized within communities, expressing limits to similarity among coexisting taxa, and a divergence in functional strategies to ensure high growth rates under varying abiotic conditions (9).

Chemical Diversity Within Tree Communities. Within each community along the elevation gradient, we found that chemical variation between species exceeded the variation within species by two to three times. Intraspecific chemical variation was often quite low as well (Fig. 3). Moreover, we found evidence for general phylogenetic organization of multiple chemical traits operating independent of community responses to regional abiotic filters, such as soils, elevation, and climate (Fig. 2). This finding applied mainly to leaf structure and defense compounds, such as total C, lignin, cellulose, and phenols; the phylogenetic partitioning of variance among these chemicals was about evenly distributed at family, genus, and species levels. Reciprocally, we found a strongly partitioned phylogenetic pattern in soluble C. These findings are indicative of selective pressure among coexisting species to diverge in C-allocation strategy (for example, by maintaining contrasting levels of soluble C, cellulose, and lignin in the presence of host-specific herbivores) (22, 34). Complementary studies suggest that the degree of phylogenetic partitioning of defense traits is mediated by soil fertility (22, 35), although our analyses were unable to detect a clear response.

The phylogenetic partitioning of chemical variance was very weak for foliar P and Ca (Fig. 2), both of which also showed elevated intra- and interspecific plasticity (Fig. 3). Higher phenotypic plasticity in P and Ca likely reflects a need to negotiate the scarcity and patchiness of these rock-derived nutrients in many of the communities that we sampled (36). This hypothesis is strongly supported by an observed doubling of the phylogenetic attribution of variance in foliar P and Ca when we constrained the analysis to high-fertility sites alone (Fig. 2B). In contrast to P and Ca, foliar N displayed strong phylogenetic organization, which has been found in several other tropical studies (37, 38). Here, we note that the

majority of the variance partitioning for nitrogen occurs at the family level, reflecting the particularly dominant role of N-fixing trees (Fabaceae) in the western Amazon.

Nested Chemical Assembly in Western Amazonia. Across western Amazonia, we have established (i) systematic, community-scale shifts in average canopy chemical traits along regional gradients of elevation and soils; (ii) high chemical diversity among coexisting trees within communities that is driven by differences between species rather than intraspecific variation; and (iii) strong phylogenetic partitioning of foliar C fractions and defense chemicals, but not P, Ca, or $\delta^{13}\text{C}$, within forest communities. Together, these findings suggest the existence of a nested regional pattern that links soils and elevation to foliar nutrients and foliar nutrients to carbon and defense compound allocation and functional diversification.

At the broadest scales, environmental filtering of canopy chemistry occurs in response to rock-derived nutrient availability in soils. Foliar P and Ca track differences in soil type in the lowlands (39), whereas Ca also decreases with increasing elevation (Fig. 1). Decreasing Ca availability with elevation was observed in the work by Homeier et al. (40), but it was not seen in other tropical elevation gradients (41). Our study did not incorporate soil nutrient analyses, and therefore, we can only hypothesize that decreased Ca availability might occur from slow weathering at high elevation or transport losses of Ca to lower elevations. Whatever the case, our results strongly suggest that patterns of rock-derived nutrient concentrations in foliage reflect geologic source variation (16, 42) and not phylogeny. Our taxonomic analyses support this conclusion, because regional variation in P and Ca was clearly dominated by site, which incorporates variation in geologic substrate and soils in the absence of phylogenetic control (Fig. 2).

Regional variation in canopy P and Ca concentrations is, in turn, linked to canopy adjustments in C and defense compound allocation at the community level (Fig. 1). In the lowlands, where P varies widely, communities on low-fertility soils preferentially allocate to lignin and phenol production. This strategy supports increased leaf longevity under low-nutrient conditions and drives up leaf construction costs (35, 37, 43). With increasing elevation in the Andean Amazon, foliar Ca concentrations decline, with associated increases in soluble C and declines in lignin and cellulose allocations but increased LMA. The increased LMA may be caused by proportionally more soluble C being allocated to cuticle waxes at higher elevations, but we did not separate out waxes in our laboratory assays.

Against this regional backdrop of community-scale adjustment to rock-derived nutrient availability, climatological growth conditions are generally good, even with increasing elevation (31–33, 44), and foliar N is generally high everywhere. Such productive conditions go hand in hand with high pest and pathogen pressure on foliage (9, 25, 45). In turn, fine-scale biotic interactions between trees and pests or pathogens drive diverse strategies in defense compound and carbon allocations, which are expressed in phylogenetically organized patterns as shown. Although these underlying processes are recognized (9, 46–48), such patterns have not been reported in canopies across a wide range of environmental conditions in the humid tropics.

Ecological Implications. The nested geographic and phylogenetic pattern of chemical assembly in forest communities of the western Amazon provides a perspective on the potential response of the region to ongoing and future changes in land use and climate. This region is a mosaic of functionally unique communities existing on specific combinations of soils and elevation, with each community undergoing chemical convergence driven largely by variation in rock-derived nutrients and climate. Land use decisions tend to be made on a similar basis of constraining abiotic

filters. For example, gold mining dominates in portions of the warm lowland landscape containing nutrient- and gold-rich alluvium, including on river floodplains (49). These areas harbor communities with regionally distinct functional attributes, which we have determined, including relatively high growth and low-defense compound chemical investment. In contrast, deforestation for cattle ranching is largely focused on terra firme terraces that harbor communities on older, lower-fertility clays with trees evolved to invest more in defense and longevity (50). In the Andean submontane to montane region, forest clearing occurs for agricultural products requiring cooler temperatures (e.g., cacao and coffee). Rapid deforestation in these zones means yet other losses of communities with chemical traits unique from the lowlands. Given that these forms of land use often do not overlap geographically, each activity removes a different portion of the Amazonian functional diversity mosaic that has assembled through time.

Beyond land use effects on Amazonian functional losses, if tree canopy chemistry is adaptive to host abiotic environments over long periods of time, climate change may facilitate shifts in communities of tree species to analogous conditions under which they have functionally assembled. This potential driver of change is largely dependent on the rate of chemical trait adaptation, which may be quite slow (51). If too slow, lagged chemical trait adaptations could reinforce the process of biogeographic migration that is mediated by not only elevation and climate but also by soils that are not uniformly distributed throughout the region. The background soil template could impart both opportunity for and barriers against the movement of communities as required by the rapid velocity of climate change (52).

Finally, a clearer sense of the diversity and organization of canopy chemical traits may help us to forecast winners and losers within specific communities in response to climate change. Predicted warmer temperatures may favor species that have evolved to invest more in light capture and growth chemicals or species without the energetic burden of maintaining strong defense chemistries (53). Evidence already exists at the growth form level to support this idea: lianas (woody vines) are proliferating under warmer, drier, and/or sunnier conditions (54). To help explain observations of increasing liana cover or abundance, recent phytochemical surveys reveal that lianas are genetically predisposed to invest more in light capture and growth chemicals at the expense of structure and defense, which may support positive responses to warmer and drier conditions (19, 53). Beyond such growth form-specific responses, recent reports of highly variable rates of upward Andean migration among coexisting tree species (55, 56) hint that a phylogeny of functional traits will play a critical role in determining which species will migrate, persist, or disappear with climate change.

Methods

We collected top of canopy leaf samples from 3,856 individual trees comprised of 2,420 species (and 445 species with three to five replicates) in 19 forest sites arrayed by elevation and soil type in northern, central, and southern Peru (*SI Methods* and *Tables S1* and *S2*). Our collection represents the majority of canopy tree species found throughout the western Amazon. Along the elevation gradient, mean annual precipitation ranges from 2,448 to 5,020 mm y^{-1} . Mean annual temperature varies from 8.0 °C at the Amazonian tree line in the Andes to 26.6 °C in the warmest lowland site. Comparison of mean annual temperature from weather stations and elevation data at each site indicate a negative linear relationship ($R = -0.96$; $P < 0.001$).

Soils are consistent at higher elevations, comprising the US Department of Agriculture soil orders Inceptisol and Entisol above ~600-m elevation (Table 1). In the lowlands (<600 m above sea level), soils vary among three broad classes: Ultisols on terra firme clay substrates, Inceptisols on inactive high-fertility floodplains of the late Holocene age, and Entisols in two locales in northern Peru. These Entisols were the well-known white sand substrates associated with very low nutrient availability (57). We analyzed the canopy data with respect to all sites as well as considering only the higher-fertility

substrates. These higher-fertility sites have a history of scientific research, including soil studies (22, 58), indicating that they could be treated as nutrient-rich relative to the remaining lower-fertility sites. Our selection of the higher-fertility sites was also supported by our canopy foliar N:P values (Table S1)—N:P values below 14–16 in these sites indicate weak P limitation of primary production (42).

Only fully sunlit canopy tree species were included in this study, because many canopy chemicals and LMA are highly sensitive to vertical light gradients within forests (18). Combining sun and shade leaves confuses

chemical trait comparisons within species, among species, and between communities. Leaf collections were conducted using tree-climbing techniques with strict leaf selection standards. Field cryogenetic treatment of samples, transport and preparation, and laboratory assays are described in *SI Methods*.

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Supporting Information

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SI Methods

Canopy Foliar Sampling. Our sampling strategy focused on exhaustive surveys of as many sunlit canopy species, both common and rare, as possible over forest community areas of up to 600 ha and was broadly directed by historical surveys from the same or similar locations (1–3). Individual canopies meeting the full sunlight criterion were marked, and a voucher specimen was collected. Vouchers were matched by Carnegie Institution taxonomists to type specimens kept at the National Agrarian University La Molina Herbarium in Peru and the Missouri Botanical Garden. We also matched genus names to information provided by Kew Botanic Gardens. Family-level taxonomy followed the Angiosperm Phylogeny Group 3 (4). Because Angiosperm Phylogeny Group 3 uses detailed genetic information, our taxonomic analyses approximate phylogenetic analyses.

The foliar database is distributed among 106 families, 425 genera, and 2,420 species. Because of high species turnover between forest communities, the taxonomic partitioning within the sites ranged from 6 to 49 families, from 7 to 146 genera, and from 9 to 282 species (Table S2). Analyses of intraspecific variation were performed on a subset of 393 species, and each species contained between 3 and 13 individuals. Detailed information and maps for all species and sites are provided on the Carnegie Spectranomics Project website (<http://spectranomics.ciw.edu>). The website also lists species identities with taxon information. Duplicate vouchers for all samples are held in the Carnegie Institution herbarium section of the National Agrarian University La Molina Herbarium in Peru and the Carnegie Spectranomics Library at Stanford University.

Leaf collection campaigns were conducted using tree-climbing techniques. Only fully sunlit branches of mature leaves were taken and sealed in large polyethylene bags to maintain moisture, stored on ice in coolers, and transported to a local site for processing within 3 h, (usually less than 30 min). A subset of leaves was selected from the branches for scanning and weighing. Leaf area was determined on a 600 dots/in flatbed top-illumination optical scanner using enough leaves to fill two scan areas of 21 × 25 cm (up to about 75 leaves per sample depending on leaf size). Petioles were removed from each leaf before scanning, and midveins were cut out of the leaves when they exceeded 1 mm in diameter. Leaves exceeding the surface area of the scanner were cut into sections (without petiole or midvein if >1 mm in diameter) until two full scan areas were completed. The scanned leaves were then dried at 70 °C for a minimum of 72 h before dry mass (DM) was measured. Leaf mass per area was then calculated as grams DM meter⁻². Also, from this subset of leaves, leaf discs (at least 30 per leaf) were immediately taken from 12 randomly selected leaves and transferred to –80 °C cryogenic containers and then climate-controlled –80 °C freezers until chemical assays were performed in the laboratory. The remaining leaves were detached from the branches, and subsamples were selected to represent the range of colors and conditions found among all leaves collected. When epiphylls were encountered, they were removed, along with dust and debris, before drying. These subsamples were dried in mobile ovens at 70 °C for a minimum of 72 h before vacuum sealing for transport to the laboratory for redrying before chemical analysis.

Chemical Assays. Chemical analysis protocols, along with instrument and standards information, are downloadable from the Carnegie Spectranomics Project website (<http://spectranomics.ciw.edu>) and summarized here. Dried foliage was ground in a

20-mesh Wiley mill, and subsets were analyzed for a variety of elements and carbon fractions. Total element concentrations of P and Ca were determined in 0.4 g dry leaf tissue by inductively coupled plasma spectroscopy (Therma Jarrel-Ash) after microwave digestion in 10 mL concentrated (~70% vol/vol) nitric acid solution (CEM MARSXpress). One blank and two reference standards (Peach NIST SRM 1547 and internal lemon leaf) were digested and measured with each set of 40 foliar samples to track the reproducibility and accuracy of the method.

Carbon fractions, including soluble C (composed of amino acids, pectins, simple sugars, and starch), hemicellulose, cellulose, and lignin, were determined in 0.5 g dry ground leaf tissue through use of sequential digestion of increasing acidity (5) in a fiber analyzer (Ankom Technology). C fractions are presented on an ash-free DM basis after ignition of the remaining sample at 500 °C for 5.5 h. Internal lemon leaf standard was used as a reference with each run to ensure consistency across runs. A subset of the ground material was further processed to a fine powder for determination of total C and N concentrations by combustion–reduction elemental analysis (Costec Analytical Technologies Inc.). A portion of the combustion gas from each sample was routed through an isotope ratio mass spectrometer (Finnigan S19; Thermo Scientific) for determination of $\delta^{13}\text{C}$ in the sample. Reference standards (Peach NIST SRM 1547 and internal lemon leaf) were included with every set of 20 samples. $\delta^{13}\text{C}$ was calculated on a per mil basis (‰) with respect to the Pee Dee Belemnite standard.

Frozen leaf disks were used for the total phenolic, chlorophyll, and carotenoid determinations. For phenols, disks were ground in 95% methanol on the high-throughput tissue homogenizer. A portion of the solution was further diluted and incubated on an orbital shaker at room temperature (15–18 °C) in the dark for 48 h to ensure proper phenol extraction (6). The total phenolic concentration in solution was determined colorimetrically using the Folin–Ciocalteu method. Phenol concentrations were measured in gallic acid equivalents relative to an eight-point Gallic acid standard curve. Total chlorophyll and total carotenoid concentrations were quantified using two frozen leaf disks (0.77 cm² area each). These disks were rapidly ground (90 s) in 1.5-mL centrifuge tubes containing 0.75 mL 100% acetone on a high-throughput tissue homogenizer (Troemner) with a small amount of MgCO₃ to prevent acidification. After dilution and centrifugation for 3 min at 2,000 × g, the absorbance of the supernatant was measured using a dual-beam scanning UV-VIS spectrometer (Lambda 25; Perkin-Elmer).

Analyses. We used ordinary least squares regression to assess relationships between log-transformed leaf traits, elevation, mean annual temperature, mean annual precipitation, and their interactions. We also assessed intra- and interspecific variation using coefficients of variation calculated with untransformed data. We used ANOVA tests to compare chemical traits on lower- vs. higher-fertility sites based on US Department of Agriculture soil taxonomy.

With the goal of examining how variance in chemical data can be explained by taxonomic grouping, we developed nested ANOVA models with random effects using the *lme4* (residual maximum likelihood) package in R (7, 8). We included the phylogenetic levels of family (f), genus nested within family (g), and species nested within genus within family (s) as well as an environmental component incorporated as site (T). All effects were treated as random. In each model, y is any chemical trait

for each canopy sample. This value was modeled as the sum of the mean value for the entire dataset μ (or subset, when specified), the nested genetic effects (family i , genus j within family i , and species ijk within genus j), the site effect (T), and the residual error of the measurement e :

$$y = \mu + f_i + g_{ij} + s_{ijk} + T_i + e_{ijkl}.$$

The total variance about the mean for a given trait was, therefore, quantitatively parsed into the variance explained by families (σ_f^2), genera within families (σ_g^2), species within genera (σ_s^2), site (σ_T^2), and specimens within species (σ_e^2):

$$\sigma_{\text{total}}^2 = \sigma_f^2 + \sigma_g^2 + \sigma_s^2 + \sigma_T^2 + \sigma_e^2.$$

If, in a given model, the last term (σ_e^2) accounted for a high percentage of the total variance, then we concluded that site characteristics and taxonomy did not explain the data well. We refer to this component as the model residual.

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One limitation of this analysis is that it describes the overall variation explained by each input variable. We acknowledge that not all taxa have equal variance; some may have tightly clumped chemical signatures, whereas others may vary widely. This analysis will not pick up such trends; instead, the method quantifies the entire pattern of phylogenetic grouping or lack thereof relative to site and residual effects. Previous work successfully tested the validity of nested random effects modeling for analysis of phylogenetic partitioning of foliar chemical traits (9–11).

To compare rates of change of multiple canopy chemicals, we computed the gradient-normalized trait values at each site. This procedure was done by subtracting the mean chemical trait value of the entire gradient (M_{gradient}) from the mean value of each site (m_{site}) and dividing the difference by the gradient SD (SD_{gradient}):

$$(m_{\text{site}} - M_{\text{gradient}}) / SD_{\text{gradient}}.$$

We repeated this procedure for chemical traits expressed on a mass basis (Fig. 1) and an area basis (Fig. S2).

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Table S2. Taxonomic partitioning of foliar samples from canopy trees in 19 sites along the Andes–Amazon elevation gradient in Peru

Site name	Individuals	Families	Genus	Species
Sucusari	334	49	124	230
Allpahuayo 1	338	44	140	222
Jenaro Herrera 1	437	55	146	282
Jenaro Herrera 2	84	25	48	55
Jenaro Herrera 3*	62	17	37	44
Allpahuayo 2	344	48	120	213
Inkaterra*	336	48	108	178
Tambopata 1	344	39	107	198
Tambopata 2*	204	40	94	129
Los Amigos 1*	178	34	80	120
Los Amigos 2	206	36	76	120
Paujil 1	208	40	87	146
Paujil 2	46	19	29	33
Huampal*	310	49	120	186
San Pedro 1*	130	34	54	76
San Pedro 2*	143	38	63	104
Tres Cruces 1*	72	22	23	37
Tres Cruces 2*	60	15	20	33
Tres Cruces 3*	20	6	7	9

*Site considered to be higher fertility in this study (Table S1).

Table S4. Summary of standard least squares regression models relating mass-based leaf traits to environment along the Andes–Amazon elevation gradient

	Adjusted r^2 (RMSE)	Elevation (m)	Adjusted r^2 (RMSE)	MAT	MAP	MAT × MAP
All forests						
$\delta^{13}\text{C}$	0.93 (0.36)	15.37*	0.98 (0.2)*	-18.67*	-3.50 [†]	nr
LMA	0.52 (15.51)	4.49*	0.52 (15.49) [†]	nr	nr	nr
Total C	nr		nr	nr	2.42 [†]	nr
Soluble C	0.82 (2.79)	9.15*	0.91 (1.97)*	-10.53*	-4.01*	nr
Chl	0.22 (1.07)	-2.47 [†]	0.38 (0.95) [†]	nr	nr	-2.74 [†]
Car	nr		0.31 (0.17) [†]	nr	nr	-2.85 [†]
N	nr		nr	nr	nr	nr
P	0.25 (0.04)	2.62 [†]	0.43 (0.04) [†]	-3.46 [†]	-2.27 [†]	nr
Ca	nr		nr	nr	nr	nr
Phenols	nr		nr	nr	nr	nr
Lignin	0.49 (3.60)	-4.32*	0.68 (2.87)*	5.05*	2.91 [†]	nr
Cellulose	0.88 (0.76)	-11.75*	0.95 (0.51)*	14.7*	3.48 [†]	2.76*
Higher-fertility soils						
$\delta^{13}\text{C}$	0.92 (0.44)	10.11*	0.99 (0.09)*	-7.22*	nr	5.82*
LMA	0.85 (11.20)	7.28*	0.84 (11.6) [†]	nr	nr	nr
Total C	0.68 (0.76)	4.44 [†]	0.65 (0.78) [†]	nr	nr	nr
Soluble C	0.86 (2.31)	7.50*	0.93 (1.67) [†]	nr	nr	nr
Chl	0.73 (0.76)	-5.05*	0.86 (0.54) [†]	nr	nr	nr
Car	0.68 (0.13)	-4.51 [†]	0.85 (0.09) [†]	nr	nr	nr
N	0.50 (0.15)	-3.18 [†]	nr	nr	nr	nr
P	nr		nr	nr	nr	nr
Ca	0.63 (0.32)	-4.01 [†]	0.66 (0.31) [†]	nr	nr	nr
Phenols	nr		nr	nr	nr	nr
Lignin	0.41 (2.67)	-2.71 [†]	0.65 (2.07) [†]	nr	nr	nr
Cellulose	0.90 (0.69)	-9.30*	0.97 (0.41)*	5.97*	nr	nr

Adjusted r^2 values show RMSE in parentheses, and the t values for model variables are provided. nr, no relationship at the $P = 0.05$ level; RMSE, root mean square error.

* $P < 0.001$ significance value.

[†] $P < 0.05$ significance value.

Table S5. Summary of standard least squares regression models relating leaf traits calculated on an area basis to elevation for all forests and higher-fertility forests (Table S1)

	All forests		Higher-fertility forests	
	Adjusted r^2 (RMSE)	t	Adjusted r^2 (RMSE)	t
d13C	0.47 (0.04)	4.15*	0.92 (0.22)	9.53*
LMA	0.52 (15.5)	4.51*	0.90 (0.29)	8.49*
Total C	0.43 (0.06)	-3.85*	0.90 (0.21)	-8.40*
Soluble C	nr	nr	0.65 (0.20)	-3.95 [†]
Chl	0.21 (0.02)	-2.38 [†]	0.85 (0.23)	-6.93*
Car	0.17 (0.01)	-2.15 [†]	0.85 (0.22)	-6.80*
N	0.19 (0.01)	-2.27 [†]	0.87 (0.18)	-7.51*
P	nr	nr	0.71 (0.17)	-4.59 [†]
Ca	nr	nr	0.70 (0.37)	-4.42 [†]
Phenols	0.29 (0.17)	-2.9 [†]	0.46 (0.24)	-2.81 [†]
Lignin	0.76 (0.03)	-7.65*	0.90 (0.19)	-8.51*
Cellulose	0.67 (0.03)	-6.18*	0.92 (0.21)	-9.63*

Adjusted r^2 values show RMSE in parentheses, and the t values for model variables are provided.

* $P < 0.001$ significance value.

[†] $P < 0.05$ significance value.

Table S6. Summary of least squares regression models relating phylogenetic components of leaf traits to elevation along the Andes–Amazon elevation gradient

	Family		Genus		Species		Residual	
	Adjusted r^2 (RMSE)	Elev. (m)	Adjusted r^2 (RMSE)	Elev. (m)	Adjusted r^2 (RMSE)	Elev. (m)	Adjusted r^2 (RMSE)	Elev. (m)
All forests								
$\delta^{13}\text{C}$	0.01 (10.38)	1.07	-0.03 (20.6)	-0.72	-0.07 (13.02)	0.15	-0.06 (9.75)	0.18
LMA	0.19 (12.59)	2.16*	-0.05 (12.3)	-0.50	-0.06 (16.47)	0.22	0.06 (17.31)	-1.42
Total C	-0.07 (15.04)	-0.06	-0.06 (9.4)	0.34	-0.05 (14.11)	-0.50	-0.02 (5.84)	0.82
Soluble C	0.1 (13.95)	1.65	-0.03 (13.72)	0.76	0.18 (13.41)	-2.12*	-0.04 (7.93)	-0.61
Chl	0.23 (9.39)	2.42*	0.01 (16.78)	-1.06	0.00 (12.38)	1.02	0.02 (15.36)	-1.14
Car	0.10 (14.41)	1.66	-0.03 (16.96)	-0.69	-0.06 (14.28)	0.21	0.00 (15.45)	-0.98
N	0.01 (14.28)	1.11	-0.06 (10.83)	-0.31	0.03 (11.67)	-1.24	-0.06 (6.57)	0.31
P	-0.06 (14.96)	-0.19	-0.05 (11.16)	-0.46	-0.04 (12.01)	0.59	-0.07 (11.6)	0.08
Ca	-0.04 (17.66)	0.61	0.06 (12.51)	-1.44	-0.06 (18.07)	-0.16	0.02 (9.08)	1.12
Phenols	0.15 (16.28)	1.94	0.00 (17.51)	-0.99	0.04 (15.09)	-1.27	-0.06 (13.56)	0.36
Lignin	0.04 (15.36)	1.32	-0.03 (14.15)	-0.71	-0.01 (16.86)	-0.91	-0.04 (7.93)	0.64
Cellulose	0.07 (12.14)	-1.49	0.01 (19.17)	1.06	-0.07 (16.4)	0.06	-0.04 (5.74)	-0.57
Higher-fertility soils								
$\delta^{13}\text{C}$	0.04 (14.41)	-1.14	-0.16 (10.63)	0.17	-0.04 (12.37)	0.84	0.06 (3.52)	1.21
LMA	-0.06 (21.19)	0.78	0.07 (14.69)	-1.23	-0.08 (8.34)	-0.71	-0.04 (8.99)	0.84
Total C	0.24 (13.96)	1.80	0.00 (13.71)	-0.99	-0.10 (13.5)	0.61	0.11 (14.34)	-1.38
Soluble C	0.08 (5.61)	1.26	-0.16 (13.64)	-0.24	-0.10 (10.15)	-0.60	-0.14 (6.55)	0.36
Chl	-0.12 (18.73)	-0.49	-0.05 (9.28)	-0.80	-0.11 (16.81)	0.55	-0.10 (11.71)	0.63
Car	-0.02 (17.06)	0.92	-0.02 (14.08)	-0.93	-0.11 (18.17)	0.54	0.07 (9.89)	-1.25
N	0.23 (11.91)	1.75	0.08 (15.99)	-1.27	0.17 (11.98)	1.55	0.06 (15.82)	-1.21
P	0.12 (19.61)	1.41	-0.01 (16.53)	-0.96	0.02 (15.59)	-1.06	-0.12 (9.9)	0.48
Ca	0.11 (10.82)	1.36	-0.06 (22.17)	-0.78	-0.13 (13.95)	0.45	-0.13 (8.05)	-0.46
Phenols	-0.13 (16.68)	0.46	-0.13 (13.2)	0.43	0.34 (8.48)	-2.13	-0.04 (5.32)	0.87
Lignin	0.14 (12.03)	-1.48	-0.07 (23.97)	0.72	-0.17 (14.16)	-0.06	-0.15 (4.48)	0.29
Cellulose	-0.13 (10.75)	0.41	-0.02 (13.84)	-0.93	-0.10 (15.86)	0.59	-0.17 (12.22)	-0.07

Adjusted r^2 values show RMSE in parentheses, and the t values for model variables are provided.

* $P < 0.05$ significance relationship.

Table S8. Summary of standard least squares regression models relating intraspecific variation (calculated as coefficients of variation within species) in leaf traits to environment along the Andes–Amazon elevation gradient

	Adjusted r^2 (RMSE)	Elevation (m)	Adjusted r^2 (RMSE)	MAT	MAP	MAT \times MAP
All forests						
$\delta^{13}\text{C}$	0.19 (0.4)	2.3*	0.33 (0.33) [†]	−3.47 [†]	nr	−2.45 [†]
LMA	nr		nr	nr	nr	nr
Total C	nr		0.41 (0.38) [†]	nr	−2.55 [†]	−3.09 [†]
Soluble C	nr		nr	nr	nr	nr
Chl	nr		0.35 (4.02) [†]	nr	nr	−3.3 [†]
Car	nr		0.48 (2.95) [†]	nr	nr	−4.24*
N	0.62 (1.84)	5.55 [†]	0.66 (1.73)*	−5.00*	nr	nr
P	0.30 (3.42)	2.93*	0.31 (3.38) [†]	−3.03 [†]	nr	nr
Ca	nr		nr	nr	nr	nr
Phenols	nr		nr	nr	nr	nr
Lignin	nr		0.36 (2.77) [†]	−3.00 [†]	−2.08 [†]	−3.34 [†]
Cellulose	nr		nr	nr	nr	nr
Higher-fertility soils						
$\delta^{13}\text{C}$	nr		nr	nr	nr	nr
LMA	nr		nr	nr	nr	nr
Total C	0.72 (0.27)	−4.96 [†]	0.71 (0.27) [†]	nr	nr	nr
Soluble C	nr		nr	nr	nr	nr
Chl	nr		nr	nr	nr	nr
Car	nr		0.58 (2.83) [†]	nr	nr	nr
N	0.63 (2.11)	4.00*	0.62 (2.13) [†]	nr	nr	nr
P	nr		nr	nr	nr	nr
Ca	nr		nr	nr	nr	nr
Phenols	nr		nr	nr	nr	nr
Lignin	nr		nr	nr	nr	nr
Cellulose	nr		nr	nr	nr	nr

Adjusted r^2 values show RMSE in parentheses, and the t values for model variables are provided.

* $P < 0.001$ significance value.

[†] $P < 0.05$ significance value.

